

UNCLASSIFIED

(b) (2)
(b) (3)

UNCLASSIFIED

Date: 09/09/2005**Category:** 10 - Security**OPR:** SC**Title:** AR 10-1 (U) SECURITY CLEARANCES, ACCESSES, AND APPROVALS

--

REVISION SUMMARY: 09 September 2005

This regulation supersedes AR 10-1, dated 23 March 2005.

AR 10-1 is revised to update organizational titles. This revision reflects the Agency's organizational restructuring that resulted from the D/CIA's decision, effective 4 January 2005, to abolish the Mission Support Offices and establish the Directorate of Support. This revision also reflects the title change for the External Operations and Cover Division in the Directorate of Operations to the Global Deployment Center.

Boldfaced text in this regulation indicates revisions.

This regulation was written by the Security Center (SC)/Security Policy Staff,

1. (U) SECURITY CLEARANCES, ACCESSES, AND APPROVALS

(U) SYNOPSIS. This regulation sets forth the authority and policies governing CIA security clearances, security approvals, and access approvals.

- a. (U) AUTHORITY.** The authority for this regulation is contained in the National Security Act of 1947, as amended; the Central Intelligence Agency Act of 1949, as amended; Executive Orders 10450, 12333, 12958, and 12968; Director of Central Intelligence Directive No. 6/4; and other applicable law.
- b. (U) DEFINITIONS.** For purposes of this regulation, the following definitions apply:
- (1) A "**security clearance**" is a formalization of a security determination that an individual is authorized access, on a "need-to-know" basis, to a specific level of classified

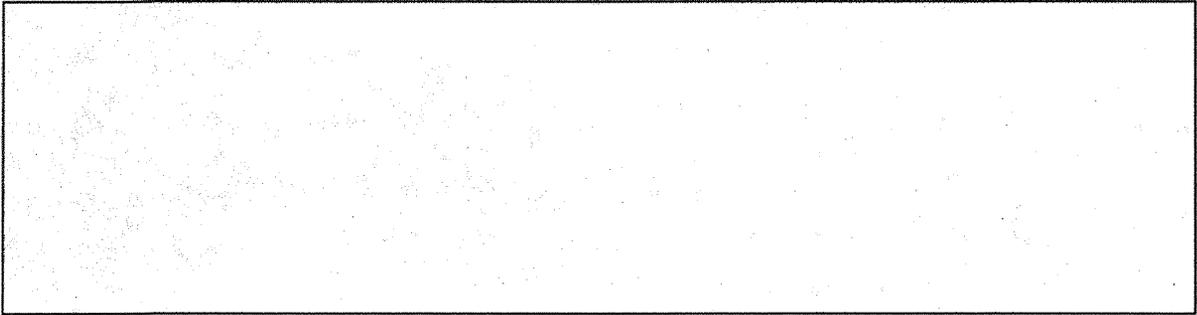
APPROVED FOR RELEASE
DATE: JAN 2008

UNCLASSIFIED

UNCLASSIFIED

information (that is, TOP SECRET, SECRET, CONFIDENTIAL).

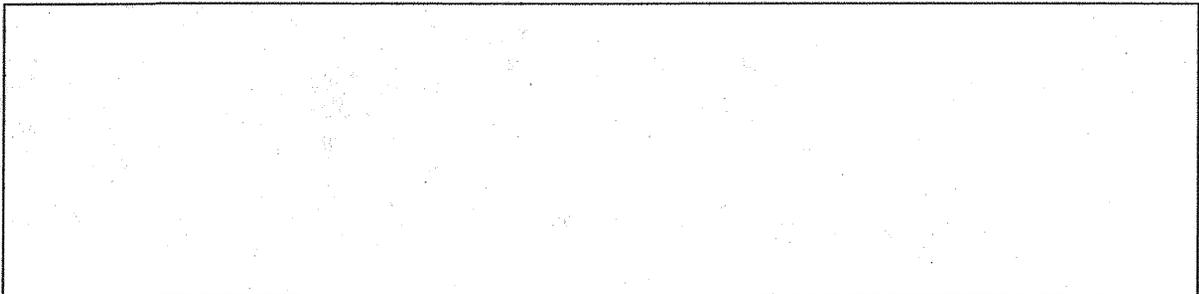
- (2) An "access" or "access approval" is a formalization of a security determination that an individual is authorized access, on a "need-to-know" basis, to a specific type of classified information, such as Sensitive Compartmented Information (SCI), that imposes safeguarding and access requirements that exceed those normally required for information at the same classification level.



- (4) A "security approval" is a security determination that is more restrictive in scope than a security clearance or access. A security approval only grants authorization on a need-to-know basis to an individual for access to specific Agency activities, information, or facilities at a specified classification level for a specific purpose. Security approvals generally apply to non-staff personnel, contractor personnel, service-type personnel requiring facility access, liaison contacts, and authorized contacts with persons who may be able to provide information of interest to the Agency.
- (5) The term "Operating Official" has the same meaning as specified in AR but also includes "Heads of Independent Offices" as also identified in

c. (U//FOUO) POLICY

- (1) All individuals employed by, affiliated with, or utilized by the Agency, excluding persons requiring operational approvals () must possess a security clearance, security approval, or access approval consistent with the sensitivity of the proposed use. The C/SC, or designee is responsible for granting or determining eligibility to receive CIA security clearances, accesses (to include SCI access approvals), and security approvals to individuals under the security cognizance of the Agency.



- (3) Individuals who do not have a need-to-know classified information and who are unlikely to be exposed to classified information during the course of their official duties (for example, groundskeepers, certain visitors to Agency premises, and so forth) may receive

UNCLASSIFIED

a limited FAA from the SC (see paragraph g of this regulation for a description of a full FAA).²

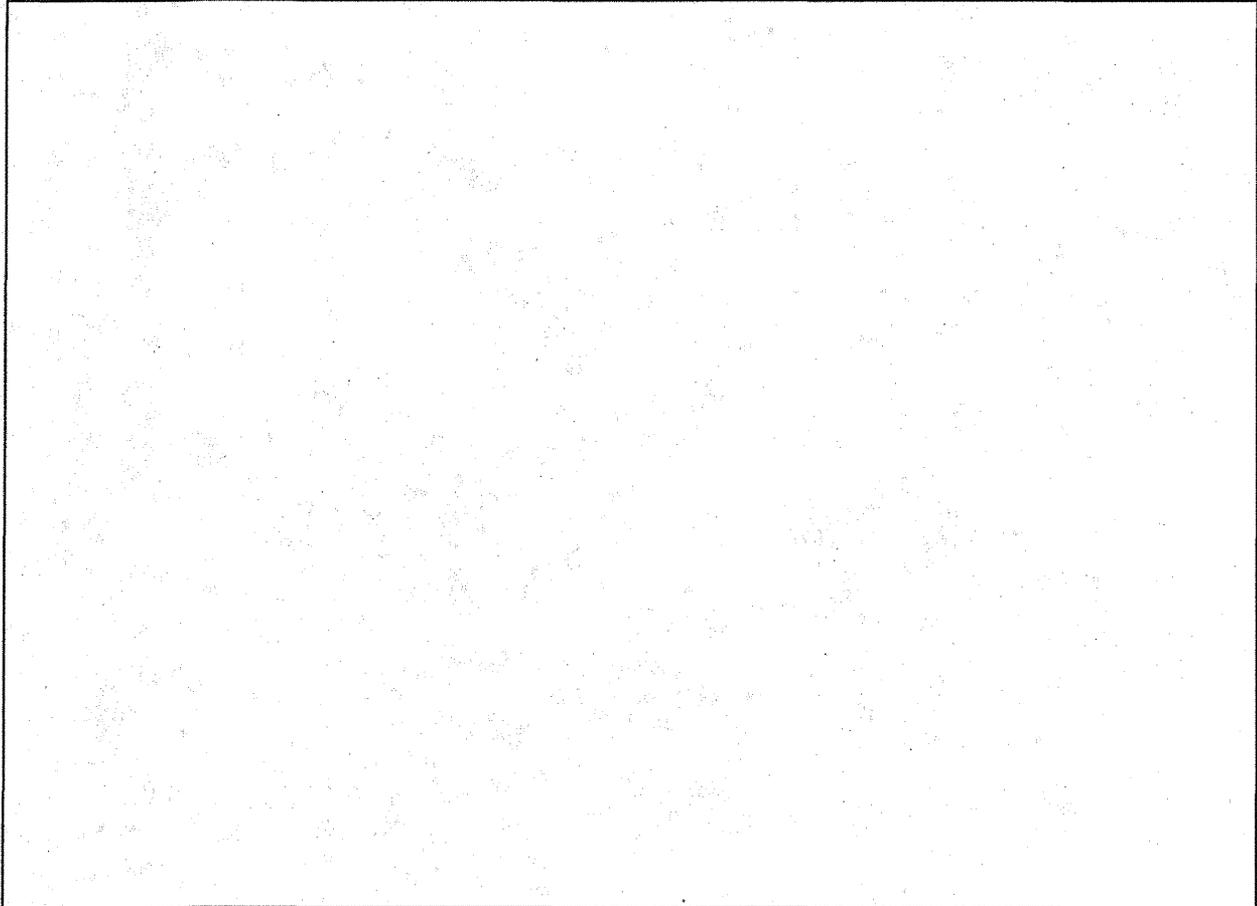
²The SC may grant limited FAAs to individuals who require escorted access to Agency buildings on a recurring basis. The SC Security Protective Service (SPS) may grant individuals (for example commercial delivery personnel) access to Agency premises on a non-recurring basis without additional SC coordination or approval. Investigations for Limited FAAs and escorted access granted by the SPS will be limited to examining federal, state, and local records as permitted by law. The limited investigations associated with these types of authorizations are conducted for the protection of Agency personnel and property rather than to determine eligibility to receive access to classified information. Denials of limited FAAs or SPS visitor requests are not subject to appeal under AR or any other Agency regulation.

- (4) SC policies for the acceptance of security clearances and access approvals granted by other federal agencies and departments will be consistent with standards for the reciprocal acceptance of access eligibility determinations established by Executive Order 12968.
 - (5) The Agency does not discriminate on the basis of race, color, religion, sex, national origin, disability, age (40 and over), or sexual orientation in granting, denying, or revoking security clearances, accesses, or security approvals.
 - (6) The SC will not approve an individual for access or continued access to classified information if the individual, a member of the individual's immediate family as defined in DCID No. 6/4, or an immediate family member of the individual's spouse or cohabitant as defined in DCID No. 6/4, has ever been affiliated with the intelligence service or security service of a foreign country.
 - (7) The SC will not approve an individual for access or continued access to classified information if the individual's spouse or cohabitant is a foreign national currently employed by a foreign government unless the spouse or cohabitant resigns such foreign government employment. If the spouse or cohabitant is a U.S. citizen working for a foreign government, the SC will determine on a case-by-case basis whether resignation of the foreign government employment is a necessary precondition for the individual to be considered for access or continued access to classified information.
- d. **(U) ADJUDICATIVE GUIDELINES AND INVESTIGATIVE STANDARDS USED BY CIA.** The investigative standards and adjudicative guidelines used by CIA for granting, denying, or revoking security clearances, accesses, and approvals are those national standards and guidelines approved by the President in accordance with Executive Order 12968. These guidelines and standards are set forth in DCID No. 6/4 and are hereby incorporated into this regulation.
- e.
- f. **(U) NON STAFF-LIKE ACCESS.** The clearance level (that is, TOP SECRET, SECRET, CONFIDENTIAL) and/or other access approvals granted for non-staff-like access will be consistent with the sensitivity of assigned duties, the information accessed, and/or the facilities which house sensitive information. As deemed appropriate, C/SC or designee may

UNCLASSIFIED

UNCLASSIFIED

require completion of more stringent investigative requirements than required by the applicable Government-wide investigative standards, including the use of the polygraph. In some cases, due to the sensitivity of certain operations, a SC security approval at or below the SECRET level may be required before contacting individuals who will be requested to perform services for the CIA.



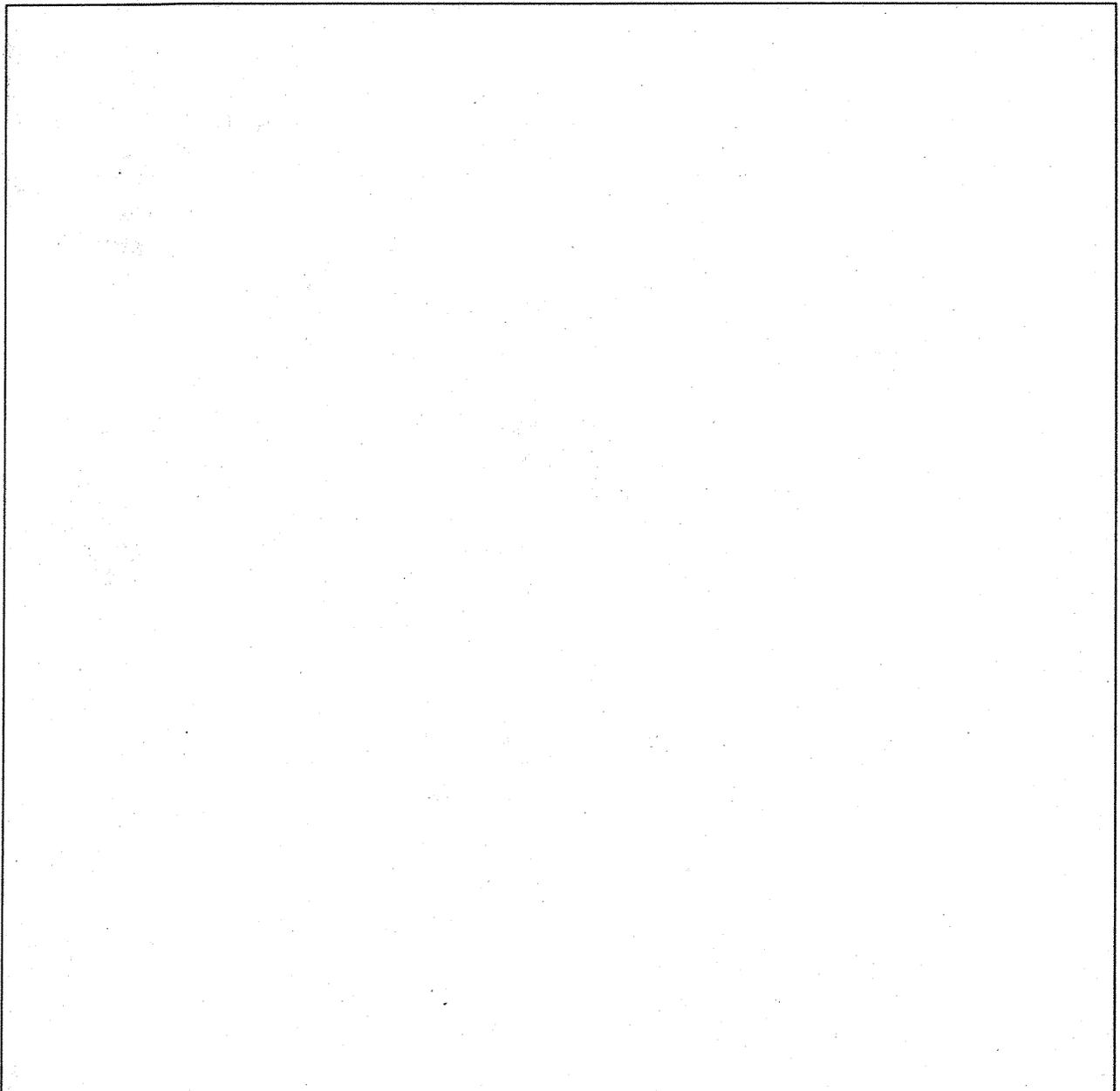
- j. **(U) DELEGATIONS OF AUTHORITY.** The C/SC is the senior Agency official designated under section 6.1 of Executive Order 12968 to direct and administer the Agency's personnel security program. The C/SC is delegated all authority granted by Executive Order 12968 to the D/CIA, as head of the Agency, except for the functions and authority set forth in sections 2.4(b) and 5.2(b), (d), and (e) of the Order which must be exercised by the D/CIA, or the DD/CIA acting on the D/CIA's behalf.
- k. **(U) AUTHORITY TO REINVESTIGATE, DENY, SUSPEND, REVOKE, OR ADMINISTRATIVELY TERMINATE SECURITY CLEARANCES, ACCESS APPROVALS, AND/OR SECURITY APPROVALS.** The C/SC or designee may at any time deny a request for security clearance, access approval, or security approval. The C/SC or designee may, at their discretion, initiate reinvestigations of an Agency employee or any other individual who holds an Agency-sponsored security clearance, access approval, or security approval to determine continued eligibility for such clearance, access, or approval. The C/SC or designee may temporarily suspend an individual's security clearance, access

UNCLASSIFIED

UNCLASSIFIED

approval, or security approval during the investigation and until final adjudication of the individual's eligibility for access to classified information. Suspensions may not be appealed under this or any other Agency regulation. Upon completion of the reinvestigation or other inquiry, the C/SC or designee may revoke the individual's security clearance, access approval, or security approval if doubts remain regarding the individual's continued eligibility for security clearance, access approval, or security approval. Finally, the C/SC or designee may administratively terminate any Agency security clearance, access approval, or security approval when it is determined that the affected individual no longer requires the clearance, access, or security approval to carry out the individual's official duties. Administrative terminations may not be appealed under this or any other Agency regulation.

I. Not Used.



UNCLASSIFIED

UNCLASSIFIED

will provide Agency BI-related information to DSS. Unless otherwise directed, overt employees should list the Chief, Human Resources as their supervisor. Overt and covert employees must not list any other Agency personnel on the packet.

- p. **(U) RESERVATION OF AUTHORITY.** Nothing in this regulation shall be deemed to limit or preclude the **D/CIA** or the **DD/CIA** from taking any actions regarding an Agency sponsored security clearance, access approval, or security approval with or without the procedures set forth in this or any other regulation. In addition, neither this nor any other regulation limits or precludes the **D/CIA** or **DD/CIA** from suspending, revoking, or administratively terminating any individual's access to SCI, with or without procedures, regardless of the individual's affiliation or lack of direct affiliation with the Agency.
- q. **(U) NO ADDITIONAL RIGHTS CONFERRED.** Neither this nor any other Agency regulation or policy statement creates for or confers on any person or entity any right to administrative or judicial review of Agency security clearance, access approval, or security approval determination procedures, their implementation, or decisions or actions rendered there under. Also, neither this nor any other Agency regulation or policy statement creates or confers any right, benefit, or privilege, whether substantive or procedural, for access to classified information or facilities. Finally, neither this nor any other Agency regulation or policy statement creates or confers any substantive or procedural right, benefit, or privilege enforceable by any party against the Agency, any Agency instrumentality, or any Agency officer or employee, or any other person acting for or on behalf of the Agency.

UNCLASSIFIED